

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILIN

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/711,829

E INK CORPORATION

CAMBRIDGE, MA 02138-1002

733 CONCORD AVE

26245

DAVID J COLE

10/07/2004

Thomas H. Whitesides

H-396

CONFIRMATION NO. 5828

FORMALITIES LETTER

OC000000014379565

Date Mailed: 11/16/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

04/12/2005 JBALINAN 00000095 501162 10711829

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Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

Signature Printed name

Date

April 8, 2005

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

29629

Reg. No.

are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paper Reduction

TRANSMITTAL		Application Number	10/711,829 October 7, 2004	
		Filing Date		
FORM		First Named Inventor	Whitesides, Thomas H.	
		Art Unit	2673	
(to be used for all correspondence after initial filing)		Examiner Name	Not Yet Known	
Total Number of Pages in This Submission	27	Attorney Docket Number	H-396	
ENCLOSURES (Check all that apply)				
Fee Transmittal Form		Drawing(s)		After Allowance communication to (TC)
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences
Amendment / Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After Final		Petition to Convert to a Provisional Application		Proprietary Information
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Ad	dress	Status Letter
X Extension of Time Request		Terminal Disclaimer		X Other Enclosure(s) (please identify below):
Express Abandonment Request		Request for Refund		Request for Corrected Application Data Sheet (2 pages)
Information Disclosure Statement		CD, Number of CD(s)		Replacement Application Data Sheet (8 pages)
Certified Copy of Priority		Landscape Table on CD		
Document(s)	Rem	arks		
Reply to Missing Parts/ Incomplete Application				
Reply to Missing Parts under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm Name				

CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Signature Date Typed or printed name David J. Cole April 8, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

10/711,829

Application of:

Whitesides, et al.

Confirmation No.:

5828

Filed:

October 7, 2004

Group Art Unit:

2673

Examiner:

Not Yet Known

Attorney Docket No.:

H-396

Customer No.:

26245

Cambridge, Massachusetts April 8, 2005

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application, issued 16 November, 2004 in connection with the above application, applicants are filing herewith a Declaration signed by the inventors. Because the inventors are geographically separated, it was necessary to execute the Declaration in four separate copies, but based on previous experience the undersigned attorney believes this is not objectionable to the Office.

As a result of a review of certain business arrangements entered into by the applicants' assignee, E Ink Corporation, the undersigned attorney has determined that this application is now entitled to small entity status, and accordingly the fees paid herewith are being paid on this basis. It is respectfully requested that the Office Records be changed accordingly.

Whitesides, et al. Serial No. 10/711,829 Response to Notice to File Missing Parts Page 2

Please charge at the small entity rate the additional fee required under 37 CFR 1.16(e) for the late filing of this Declaration to the assignee's Deposit Account No. 501162; a duplicate copy of this paper is enclosed. A duplicate copy of the Notice also accompanies this filing.

Since the normal period for responding to the aforementioned Notice expired January 16, 2005, there is also filed herewith a Petition (Form PTO/SB/22) for a three-month extension of this period.

Also filed herewith is a Request for Correction of Application Data Sheet.

Respectfully submitted

and 5 Colo

David J. Cole

Registration No. 29629

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